

Attorney Docket No.:

002541.P009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

GUY A. STORY, JR., ET AL.

Application No.:

09/222,336

Filed:

December 28, 1998

For:

LICENSE MANAGEMENT FOR DIGITAL

CONTENT

Art Group: 2764

Examiner: C. Lee

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

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Sir:

Applicants, in accordance with their duty of disclosure under 37 CFR §1.56 and in accordance with 37 CFR §1.97(c)(2), Applicants submit the enclosed Form PTO-1449, including copies of the art cited therein.

Applicants contend that the cited art does not preclude patentability by anticipating or rendering obvious the present invention.

Pursuant to 37 CFR §1.97(c), submitted herewith is the required fee as set forth in 37 CFR §1.17(p) in the amount of \$240.00. This statement is being filed before the mailing date of the final Office Action or the Notice of Allowance. In the event any petition is needed for consideration of this Information Disclosure Statement, it is hereby so petitioned. Please charge any additional fee due to Deposit Account 02-2666. A duplicate of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: April 18, 2000

Paul A. Mendonsa, Reg. No. 42,879

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 Telephone: (503) 684-6200

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 to the Patent and Trademark Office on: *April 18, 2000*.

Mark W. Baugher

04/18/00

Date



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PTO/SB/06A (10-96)
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 Complete If Known

 Application Number
 09/222,336

 Filing Date
 December 28, 1998

 First Named Inventor
 Guy A. Story, Jr.

 Group Art Unit
 2764

 Examiner Name
 C. Lee

 Attorney Docket Number
 002541.P009

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Exam.	Cite	Document	Date	PATENT DOCUMENTS Name Class		Sub-	Flling Date
Initials	No.	Number				class	Filing Date (ifreppropriate
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FOREIGN PATENT DOCUMENTS

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Examiner	Date
Signature	Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R §§ 1.27 and 1.28.

TOTAL	AMOUNT	OF PAYMEN
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(\$) 240.00

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B	Complete if Known				
Application Number	09/222,336				
Filing Date	December 28, 1998				
First Named Inven	Guy A. Story, Jr.				
Examiner Name	C. Lee				
Group/Art Unit	2764				
Attorney Docket N	mber 002541.P009				

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)				
1. The Commissioner is hereby authorized to charge	3. ADD	ITIONAL FEE	0.0		
indicated fees to: The Commissioner is hereby authorized to credit	Large Entity	Small Entity	27 异 召		
2. The Commissioner is hereby authorized to credit any over payments to:	Fee Fee	Fee Fee Fee Desc	ription \bigcirc \nearrow Fee Baid		
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2. A Payment Enclosed:	1	Examiner action			
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FEE CALCULATION	116 380	216 190 Extension for resp	oonse within second month		
1. BASIC FILING FEE	117 870	217 435 Extension for resp	oonse within third month		
Large Entity Small Entity	118 1,210	218 680 Extension for resp	onse within fourth month		
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	128 1,850		oonse within fifth month		
101 690 201 345 Utility filing fee	119 300	219 150 Notice of Appeal			
106 310 206 155 Design filling fee	120 300	220 150 Filing a brief in su	'' ''		
107 480 207 240 Plant filing fee	121 260	221 130 Request for oral h			
108 690 208 345 Reissue filing fee	138 1,510 140 110	138 1510 Petition to institute 240 55 Petition to revive	e a public use proceeding		
114 150 214 75 Provisional filing fee	140 110	241 605 Petition to revive			
SUBTOTAL (1) (\$)	142 1,210	242 605 Utility issue fee (o			
2. EXTRA CLAIM FEES Extra Fee from	143 430	243 215 Design issue fee	. 10.0000)		
Claims below Fee Paid	144 580	244 290 Plant issue fee			
Total Claims	122 130	122 130 Petitions to the Co	ommissioner		
Claims = X =	123 50	123 50 Petitions related to	o provisional applications		
Multiple Dependent =	126 240	126 240 Submission of Info	ormation Disclosure Stmt 240.00		
**or number previously paid, if greater, For Reissues, see below	581 40	581 40 Recording each p	atent assignment per umber of properties)		
Large Entity Small Entity Fee Fee Fee Fee Description	146 790	246 395 Filing a submission	` · · · · · · · · · · · · · · · · · · ·		
Code (\$) Code (\$)	1	(37 CFR 1.129(a)			
103 18 203 9 Claims in excess of 20	149 790	249 395 For each addition			
102 78 202 39 Independent claims in excess of 3	Other fee (spe	examined (37 CF)			
104 260 204 130 Multiple Dependent claim, if not paid 109 78 209 39 **Reissue independent claims	Other fee (spe	• • • • • • • • • • • • • • • • • • • •			
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110 18 210 9 **Reissue claims in excess of 20					
and over original patent	* Reduced by I	Basic Filing Fee Paid SU	BTOTAL (3) (\$) 240.00		
SUBTOTAL (2) (\$)			240.00		
SUBMITTED BY			Complete (if applicable)		
Typed or Printed Name Paul A. Mendonsa			Reg. Number 42,879		
Signature Signature		Date 04/18/00	Deposit Account 02-2666		